



SHARESPOST

For Immediate Release

SharesPost Names Charles Christofilis To Head Compliance And Legal Affairs For Investment Management Group

SAN FRANCISCO – Nov. 1, 2016 – [SharesPost, Inc.](#), the leading liquidity provider to the Private Technology Growth asset class, today announced that Charles Christofilis has been named Chief Compliance Officer and Director of Legal Affairs of SP Investments Management.

Christofilis, an attorney who has 19 years of financial services experience and has served as a senior compliance officer, will manage regulatory and compliance issues for SP Investments Management, SharesPost’s investment advisory group.

“Charles is highly regarded by regulators and the financial institutions we work with at SharesPost,” said [Founder and CEO Greg Brogger](#). “Charles is a senior legal and compliance professional with extensive experience both in overseeing broker-dealers and investment advisors. His expertise will help SharesPost continue to innovate by ensuring that its pipeline of new products and solutions will be compliant in their design and execution.”

Prior to SharesPost, Christofilis served as the Chief Compliance Officer and Senior Counsel of White Oak Global Advisors. Prior to White Oak, Christofilis was the Chief Compliance Officer for the investment management divisions of First Republic Bank and Principal Financial Group. Earlier in his career, he worked for WestLB AG and Northern Trust. Christofilis earned his undergraduate degree from Pace University, his MBA from the University of San Francisco and his Juris Doctorate from Touro Law. He holds FINRA Series 7, 63, 24, 27 and 79 licenses and is a Certified Anti-Money Laundering Specialist.

About SharesPost, Inc.

SharesPost helped launch the private market in 2009 and continues to lead and innovate in the space. Based in Silicon Valley, SharesPost is an SEC-registered broker-dealer, investment advisor and Alternative Trading System. SharesPost has also built the largest and most active platform for data, analysis and transactions. With more than \$2 billion in closed transactions for more than 150 leading technology companies, SharesPost provides shareholders and buyers

with the expertise and tools they need to navigate, inform and transact in the private market with confidence. For more information, please visit www.sharespost.com.

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